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Filing date:

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Proceeding	92051679	
Party	Plaintiff DemetrioPapagni	
Correspondence Address	Mark D. Miller Kimble, MacMichael & Upton 5260 N. Palm Ave., Suite 221 Fresno, CA 93704 UNITED STATES ipmail@kmulaw.com	
Submission	Motion for Summary Judgment	
Filer's Name	Mark D. Miller	
Filer's e-mail	ipmail@kmulaw.com	
Signature	/Mark D. Miller/	
Date	04/06/2010	
Attachments	Motion_summary_judgment.pdf ( 46 pages )(2708436 bytes ) Declaration_MDM.pdf ( 12 pages )(550531 bytes )	

MARK D. MILLER CA Bar No. 116349 JEREMY D. CLAR CA Bar No. 234238 KIMBLE, MacMICHAEL & UPTON A Professional Corporation 5260 North Palm, Suite 221 Post Office Box 9489 Fresno, California 93792-9489 Telephone: (559) 435-5500 Facsimile: (559) 435-1500 Attorneys for Petitioner, DEMETRIO PAPAGNI IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE 9 TRADEMARK TRIAL AND APPEAL BOARD 10 Cancellation No. 92051679 DEMETRIO PAPAGNI, an individual, 11 12 Petitioner In the mater of Registration No. 3,679,176 For the mark **FU JIN** 13 v. EMPIRE GRAPE COMPANY, LLC, PETITIONER'S MOTION FOR a California Limited Liabilty Company, SUMMARY JUDGMENT AND TITIONER'S MEMORANDUM OF 15 Respondent. LAW IN SUPPORT OF PETITIONER'S MOTION FOR SUMMARY JUDGMENT 16 17 Pursuant to Rule 56(a) of the Federal Rules of Civil Procedure, and Rule 2.127(e) of the 18 Trademark Rules of Practice, Demetrio Papagni ("Petitioner"), by and through his attorneys, 19 hereby moves the Trademark Trial and Appeal Board for an order that grants Petitioner summary judgment on Petitioner's claim that the Respondent did not use the mark in commerce as of the filing date of the application and cancels the registration U.S. Registration No. 3,679,176. 22 23 As shown below, Empire Grape Company, LLC ("Respondent") had not used the mark shown in U.S. Registration No. 3,679,176 ("the Registration") in United States commerce on or in connection with the goods it identified in U.S. Application Serial No. 77/666,862 at the time the Application was filed. Accordingly, Petitioner submits that the Trademark Trial and Appeal 26 Board should grant Petitioner's Motion for Summary Judgment on this claim for relief, as no

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Motion for Summary Judgment

genuine issue of material fact exists for the Trademark Trial and Appeal Board to decide.

Summary judgment is appropriate in cases where the moving party establishes that there are no genuine issues of material fact which require resolution at trial, and that the moving party is entitled to judgment as a matter of law. Fed. R. Civ. P. 56(c) ("The judgment sought should be rendered if the pleadings, the discovery and disclosure materials on file, and any affidavits show that there is no genuine issue as to any material fact and that the movant is entitled to a judgment as a matter of law."); see also T.T.A.B. Manual of Procedure ("TBMP") § 528.01; Celotex Corp. v. Catrett, 477 U.S. 317, 322-23 (1986). When appropriate, the Trademark Trial and Appeal Board does not hesitate to dispose of cases on summary judgment. See TBMP § 528.01. As shown below, summary judgment is appropriate in this proceeding, as no reasonable fact finder could decide Petitioner's claim for relief in Respondent's favor.

#### II. FACTUAL BACKGROUND

On February 10, 2009, Respondent filed U.S. Application Serial No. 77/666,862 for the mark FU JIN for use on wine under section 1(a) of the Lanham Act, 15 U.S.C. § 1051(a), based on actual use. In support of Respondent's claim of actual use of the mark, Peterangelo Vallis, as a Member of Respondent, declared under penalty of perjury pursuant to 37 C.F.R. § 2.20 that Respondent had been using the mark in commerce since at least April 15, 1976, and provided a specimen of use, in the form of a wine label, which Registrant asserted was in use at the time the application was filed. On May 7, 2009, the Trademark Examiner issued an office action, in which the examiner found the specimen unacceptable, and required the submission of substitute specimens to proceed with the actual use application. Registrant responded to the office action on May 10, 2009, by submitting a substitute specimen consisting of a bottle of wine, ice bucket, and wine opener, and again declared under penalty of perjury pursuant to 37 C.F.R. § 2.20 that the mark was in use in commerce since at least April 15, 1976, and the substitute specimen was in use in commerce as of the date of filing the application. Based on such representations, the mark matured into Registration No. 3,679,176 on September 8, 2009.

<sup>&</sup>lt;sup>1</sup> The file history for Registration No. 3,679,176 is automatically of record in this proceeding. 37 C.F.R. § 2,122(b)(1); TBMP § 528.05(a).

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Petitioner filed an intent to use application for the mark FU JIN on wine on March 20, 2009, U.S. Application Serial No. 77/696,075. See Declaration of Mark D. Miller in Support of Petitioner's Motion for Summary Judgment, ¶ 2, Ex. A. The prosecution of U.S. Application Serial No. 77/696,075 as been suspended based on the potential likelihood of confusion with Respondent's registration. See Id. ¶ 3, Ex. B.

On October 30, 2009, Petitioner filed a Petition for Cancellation against U.S. Registration No. 3,679,176 on the grounds that the mark was not in use in commerce at the time the application was filed, and that Respondent made false, material representations to the United States Patent and Trademark Office in order to obtain the registration.

During the discovery period of the Cancellation proceeding, Petitioner engaged in discovery. As a result of that discovery, Petitioner believes that Respondent's Registration is void ab initio under Section 1(a) of the Lanham Act because Registrant unequivocally admits that the mark was not in use in commerce in or on connection with the goods identified in U.S. Registration No. 3,679,176 (i.e. "wine") at the time the application for registration was filed. See Respondent's Answers to Petitioner's First Set of Request for Admissions annexed hereto as Exhibit 1, Response Nos. 6-17, 25-33, 35-44, and 48.<sup>2</sup>

As shown below, Respondent was not using the mark FU JIN in commerce on or in connection with wine when Respondent filed U.S. Application Serial No. 77/666,862 on February 10, 2009. Respondent's Answers to Petitioner's First Set of Request for Admissions, admit that Respondent was not selling or advertising wine under the mark FU JIN, and further admit that Registrant did not create the specimens submitted in support of the allegations of use.

#### III. ARGUMENT

Section 1(a) of the Lanham Act Requires an Applicant to Provide a A. Verified Statement That the Mark is in Use in Commerce and Provide Specimens Corroborating the Actual Use of the Mark.

An applicant who has applied to register his mark in the United States under Section 1(a), claiming use of its trademark in commerce must, in its United States application, verify, in

<sup>&</sup>lt;sup>2</sup> The Request for Admissions is attached to this Brief as Exhibit 1 and the Respondent's Answers to the Request for Admissions are attached as Exhibit 2 to this Motion for Summary Judgment. In accordance with 37 C.F.R. § 2.127(e)(2) and TBMP § 528.05(c), Respondent's admissions form a part of the summary judgment record.

writing, that the mark is in use in United States commerce. 15 U.S.C. § 1051(a)(3)(C). Furthermore, with regard to a trademark, the "use in commerce" requirement is met "when a mark is (A) placed in any manner on the goods or their containers or the displays associated therewith or on the tags or labels affixed thereto, or if the nature of the goods makes such placement impracticable, then on documents associated with the goods or their sale, and (B) the goods are sold or transported in commerce..." 15 U.S.C. § 1127; Aycock Engineering, Inc. v. Airflite, Inc., 560 F.3d 1350, 1357, 90 U.S.P.Q.2d 1301 (Fed. Cir. 2009). The registration of a mark that does not meet the use requirement is void ab initio. Aycock Engineering, Inc., 560 F.3d at 1357.

B. Discovery Has Shown That Respondent Did Not Use the Mark Shown in U.S. Application Serial No. 77/666,862 in United States Commerce At the Time of Filing.

In the present matter, U.S. Application Serial No. 77/666,862 was filed on February 10, 2009, thereby requiring Respondent to be using the mark in commerce as of that date. Despite making such verified statements during the application process, Respondent's Answers to Petitioner's First Set of Request of Admissions make it abundantly clear that Respondent had not used the mark FU JIN at the time U.S. Application Serial No. 77/666,862 was filed. Specifically, on March 4, 2010, Respondent admitted that it was not <u>using</u> the mark "FU JIN" on wine at the time of filing U.S. Application Serial No. 77/666,862:

**REQUEST FOR ADMISSION NO. 6:** 

Admit that as of February 10, 2009, EMPIRE was not using the words FU JIN on wine.

[ANSWER:] Admit

REQUEST FOR ADMISSION NO. 7:

Admit that as of February 10, 2009, Peterangelo Vallis was aware that EMPIRE was not then using the words FU JIN on wine.

[ANSWER:] Admit

REQUEST FOR ADMISSION NO. 8:

Admit that EMPIRE had not used the words FU JIN on wine at any time between August 2002 and February 10, 2009.

[ANSWER:] Admit (Ex. 2)

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Motion for Summary Judgment

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**REQUEST FOR ADMISSION NO. 16:** 

Admit that as of February 10, 2009, EMPIRE was not advertising wine using the words FU JIN.

[ANSWER:] Admit

**REQUEST FOR ADMISSION NO. 17:** 

Admit that between August 2002 and February 10, 2009, EMPIRE was not advertising wine using the words FU JIN.

[ANSWER:] Admit (Ex. 2)

In addition to the above admissions, Respondent admits that no licensee of Respondent was *using*, *selling*, *creating labels or containers*, *advertising*, or *promoting* with the words "FU JIN" for wine at the time of filing U.S. Application Serial No. 77/666,862. Ex. 2, Nos. 25-33. Finally, Respondent admits that it did not create the specimens submitted with U.S. Application Serial No. 77/666,862. *Id.*, Nos. 43-44, and 48.

Based on the above admissions, it is abundantly clear that Respondent was not using the mark as contemplated under 15 U.S.C. §1127 at the time of filing U.S. Application Serial No. 77/666,862. At that time, and for the period of nearly seven years prior to filing, Respondent had not created labels or containers with the mark "FU JIN." Ex. 2. Furthermore, at the time of filing, and for the period of nearly seven years prior to filing, Respondent had not sold wine with the mark "FU JIN." *Id.* Respondent's admissions make it abundantly clear that Respondent was not using the mark in commerce, and therefore Registration No. 3,679,176 should be cancelled and considered void ab intio. *See Aycock Engineering, Inc.*, 560 F.3d at 1357.

#### IV. CONCLUSION

As shown above, Respondent admits that it has not used the mark "FU JIN" on or in connection with the goods identified in U.S. Application Serial No. 77/666,862 in United States commerce when it applied to register the mark on February 10, 2009. As such, no genuine issue of material fact exists for the Trademark Trial and Appeal Board to decide. Accordingly, Petitioner respectfully requests that the Trademark Trial and Appeal Board grant Petitioner's

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Motion for Summary Judgment

Motion for Summary Judgment, and in such manner grant the Petition for Cancellation, and cancel Respondent's U.S. Registration No. 3,679,176 based on the failure to meet the use in commerce requirement of 15 U.S.C. § 1051(a). Dated: April 6, 2010 Respectfully submitted, KIMBLE, MacMICHAEL & UPTON A Professional Corporation Attorneys for Petitioner, DEMETRIO PAPAGNI 

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Motion for Summary Judgment

#### **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a true and correct copy of the foregoing FOR SUMMARY JUDGMENT **AND** PETITIONER'S PETITIONER'S MOTION MEMORANDUM OF LAW IN SUPPORT OF PETITIONER'S MOTION FOR SUMMARY JUDGMENT is being served on the Respondent via first class mail, postage prepaid, on April 6, 2010 in an envelope addressed as follows:

> Empire Grape Company, LLC Attn: Peterangelo Vallis 2549 W. Paul Ave. Fresno, California 93711

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Motion for Summary Judgment

	1		
1	MARK D. MILLER CA Bar No. 116349 KIMBLE, MacMICHAEL & UPTON A Professional Corporation 5260 North Police Spite 221		
3	5260 North Palm, Suite 221 Post Office Box 9489 Fresno, California 93792-9489		
4			
5	Attorneys for Petitioner,		
6	DEMETRIO PAPAGNI		
8	IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE		
9	TRADEMARK TRIAL AND APPEAL BOARD		
	* * *		
10 11	DEMETRIO PAPAGNI, an individual, Cancellation No. 92051679		
12	Petitioner ) In the mater of Registration No. 3,679,176 For the mark FU JIN		
13	v. }		
14	EMPIRE GRAPE COMPANY, LLC, a California Limited Liabilty Company, PETITIONER'S FIRST SET OF		
15	Respondent.  REQUESTS FOR ADMISSIONS		
16	<b>\</b>		
17			
18	PROPOUNDING PARTY: DEMETRIO PAPAGNI		
19	RESPONDING PARTY: EMPIRE GRAPE COMPANY, LLC		
20	SET NUMBER: One (1)		
21			
22	Petitioner DEMETRO PAPAGNI ("PAPAGNI") hereby requests that Respondent		
23	EMPIRE GRAPE COMPANY, LLC. ("EMPIRE") admit the truthfulness of each of the facts set		
24	forth below:		
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PETITIONER'S FIRST SET OF REQUESTS FOR ADMISSIONS

#### REQUESTS

#### **REQUEST FOR ADMISSION NO. 1:**

Admit that EMPIRE, as Applicant, filed a trademark application for registration of the words FU JIN for use on "wine" that was received by the U.S. Patent and Trademark Office ("USPTO") on February 10, 2009 and assigned serial number 77/666,862 (hereafter "the FU JIN Trademark Application").

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#### **REQUEST FOR ADMISSION NO. 2:**

Admit the genuineness of the TEAS Plus Application for registration of the trademark FU JIN, a copy of which is attached hereto as Exhibit A and incorporated herein by this 11 reference.

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#### REQUEST FOR ADMISSION NO. 3:

Admit that the initial application document in the FU JIN Trademark Application (Exhibit A) was electronically signed by Peterangelo Vallis as a Member of EMPIRE.

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#### **REQUEST FOR ADMISSION NO. 4:**

Admit that the initial application document in the FU JIN Trademark Application (Exhibit A) contains the following statement "the mark was first used at least as early as 04/15/1976, and first used in commerce at least as early as 04/15/1976, and is now in use in such commerce."

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#### **REQUEST FOR ADMISSION NO. 5:**

Admit that EMPIRE intended for the USPTO to rely on the statements referred to in Request for Admission No. 4 in order to issue a registration for the mark FU JIN.

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#### **REQUEST FOR ADMISSION NO. 6:**

Admit that as of February 10, 2009, EMPIRE was not using the words FU JIN on wine.

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#### **REQUEST FOR ADMISSION NO. 7:**

Admit that as of February 10, 2009, Peterangelo Vallis was aware that EMPIRE was not then using the words FU JIN on wine.

#### **REQUEST FOR ADMISSION NO. 8:**

Admit that EMPIRE had not used the words FU JIN on wine at any time between August 7 2002 and February 10, 2009.

#### **REQUEST FOR ADMISSION NO. 9:**

Admit that as of February 10, 2009, EMPIRE was not selling wine with the words FU 11 JIN on containers for the wine.

#### **REQUEST FOR ADMISSION NO. 10:**

Admit that between August 2002 and February 10, 2009, EMPIRE had not caused any final (non-sample) wine labels to be printed with the words FU JIN on them.

#### **REQUEST FOR ADMISSION NO. 11:**

Admit that EMPIRE had not sold any wine with the words FU JIN on labels for the wine at any time between August 2002 and February 10, 2009.

#### **REQUEST FOR ADMISSION NO. 12:**

Admit that between August 2002 and February 10, 2009, EMPIRE had not caused any wine containers to be created with the words FU JIN on them.

#### **REQUEST FOR ADMISSION NO. 13:**

Admit that between August 2002 and February 10, 2009, EMPIRE had not sold any wine with the words FU JIN on containers for the wine.

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### **REQUEST FOR ADMISSION NO. 21:** Admit that Papagni Vineyards, Inc. was suspended as a California corporation prior to 3 February 10, 2009. 4 **REQUEST FOR ADMISSION NO. 22:** Admit that Papagni Vineyards, Inc. never transferred any trademark rights in the words 7 FU JIN for use on wine to EMPIRE. 8 **REQUEST FOR ADMISSION NO. 23:** 10l Admit that Papagni Vineyards, Inc. is not a related company to EMPIRE. 11 12l REQUEST FOR ADMISSION NO. 24: Admit that Papagni Vineyards, Inc. is not a predecessor in interest to EMPIRE. 13 14 REQUEST FOR ADMISSION NO. 25: Admit that as of February 10, 2009, no licensee of EMPIRE was using the words FU JIN 16 on wine. 17 18 **REQUEST FOR ADMISSION NO. 26:** 19 Admit that no licensee of EMPIRE had used the words FU JIN on wine at any time 20 between August 2002 and February 10, 2009. 22 **REQUEST FOR ADMISSION NO. 27:** 23 Admit that between August 2002 and February 10, 2009, no licensee of EMPIRE was 24 25 | selling wine with the words FU JIN on containers for the wine. 26 27 28

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# **REQUEST FOR ADMISSION NO. 28:** Admit that between August 2002 and February 10, 2009, no licensee of EMPIRE had 3 caused any final (non-sample) wine labels to be printed with the words FU JIN on them. **REQUEST FOR ADMISSION NO. 29:** Admit that no licensee of EMPIRE had sold any wine with the words FU JIN on labels

for the wine at any time between August 2002 and February 10, 2009.

## **REQUEST FOR ADMISSION NO. 30:**

Admit that between August 2002 and February 10, 2009, no licensee of EMPIRE had 11 caused any wine containers to be created with the words FU JIN on them.

#### **REQUEST FOR ADMISSION NO. 31:**

Admit that no licensee of EMPIRE had sold any wine with the words FU JIN on 15 containers for the wine at any time between August 2002 and February 10, 2009.

#### REQUEST FOR ADMISSION NO. 32:

Admit that as of February 10, 2009, no licensee of EMPIRE was marketing wine using the words FU JIN.

#### **REQUEST FOR ADMISSION NO. 33:**

Admit that between August 2002 and February 10, 2009, no licensee of EMPIRE was marketing wine using the words FU JIN.

#### REQUEST FOR ADMISSION NO. 34:

Admit that as of February 10, 2009, no licensee of EMPIRE was advertising wine using the words FU JIN.

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#### REQUEST FOR ADMISSION NO. 35:

Admit that between August 2002 and February 10, 2009, no licensee of EMPIRE was advertising wine using the words FU JIN.

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#### **REQUEST FOR ADMISSION NO. 36:**

Admit that as of February 10, 2009, no licensee of EMPIRE was promoting wine using the words FU JIN.

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#### **REQUEST FOR ADMISSION NO. 37:**

Admit that between August 2002 and February 10, 2009, no licensee of EMPIRE was promoting wine using the words FU JIN.

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#### **REQUEST FOR ADMISSION NO. 38:**

Admit the genuineness of the Response to Office Action dated May 10, 2009 filed in the 15 FU JIN Trademark Application, a copy of which is attached hereto as Exhibit B and incorporated herein by this reference.

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#### **REQUEST FOR ADMISSION NO. 39:**

Admit that the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit B) was electronically signed by Peterangelo Vallis as a Member of EMPIRE.

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#### **REQUEST FOR ADMISSION NO. 40:**

Admit that the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit B) contains the following statement "Applicant hereby submits a new specimen for Class 033. The specimen(s) submitted consists of The specimen consists of a bottle of Fu Jin wine. The bottle is inside of Fu Jin labeled ice bucket (promotional item). Also included in the picture is a Fu Jin wine opener (also for promotional purposes). For an

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application based on 1(a), Use in Commerce, 'The substitute specimen(s) was in use in commerce as of the filing date of the application."

#### **REQUEST FOR ADMISSION NO. 41:**

Admit that EMPIRE intended for the USPTO to rely on the statements referred to in Request for Admission No. 39 in order to issue a registration for the mark FU JIN.

#### **REQUEST FOR ADMISSION NO. 42:**

Admit the genuineness of the photographic specimen filed with the Response to Office 10 Action dated May 10, 2009 filed in the FU JIN Trademark Application, a copy of which is attached hereto as Exhibit C and incorporated herein by this reference.

#### **REQUEST FOR ADMISSION NO. 43:**

Admit that the FU JIN label on the wine bottle depicted in the photographic specimen 15 filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark 16 Application (Exhibit C) was not caused to be created by EMPIRE.

#### 18 REQUEST FOR ADMISSION NO. 44:

Admit that the FU JIN label on the wine bottle depicted in the photographic specimen 20 filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark 21 Application (Exhibit C) was created by Papagni Vineyards, Inc.

#### **REQUEST FOR ADMISSION NO. 45:**

Admit that the FU JIN label on the wine bottle depicted in the photographic specimen 25|| filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit C) was created prior to August 2002.

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#### **REQUEST FOR ADMISSION NO. 46:**

Admit that as of February 10, 2009, Peterangelo Vallis was aware that the FU JIN label on the wine bottle depicted in the photographic specimen filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit C) had been created prior to August 2002.

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#### **REQUEST FOR ADMISSION NO. 47:**

Admit that the FU JIN label on the wine bottle depicted in the photographic specimen 9|| filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark 10 Application (Exhibit C) was saved by Peterangelo Vallis in the early 2000's.

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#### 12|| REQUEST FOR ADMISSION NO. 48:

Admit that the FU JIN bucket and opener depicted in the photographic specimen filed 14 with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark 15 Application (Exhibit C) was not caused to be created by EMPIRE.

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#### **REQUEST FOR ADMISSION NO. 49:**

Admit that the FU JIN bucket and opener depicted in the photographic specimen filed 19 with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit C) was created by Papagni Vineyards, Inc.

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#### **REQUEST FOR ADMISSION NO. 50:**

Admit that the FU JIN bucket and opener depicted in the photographic specimen filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark 25 Application (Exhibit C) was created prior to August 2002.

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# **REQUEST FOR ADMISSION NO. 51:** Admit that the FU JIN bucket and opener depicted in the photographic specimen filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit C) was saved by Peterangelo Vallis in the early 2000's. 5 **REQUEST FOR ADMISSION NO. 52:** Admit that EMPIRE was formed as a California limited liability company in August 8 2002. 9 10 11 12|| Dated: February \_\_\_, 2010 KIMBLE, MacMICHAEL & UPTON A Professional Corporation 13 14 $By_{-}$ 15 Attorneys for Petitioner, DEMETRIO PAPAGNI 10744.01.DOC,120866 17 18 19 20 21 22 23 24 25 26 27 28

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PETITIONER'S FIRST SET OF REQUESTS FOR ADMISSIONS

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#### **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a true and correct copy of the foregoing PETITIONER'S FIRST SET OF REQUESTS FOR ADMISSIONS is being served on the Respondent via first class mail, postage prepaid, on February 3, 2010 in an envelope addressed as follows:

Empire Grape Company, LLC Attn: Peterangelo Vallis 2549 W. Paul Ave. Fresno, California 93711

Deniece Turner

# Trademark/Service Mark Application, Principal Register TEAS Plus Application

**Serial Number: 77666862 Filing Date: 02/10/2009** 

NOTE: Data fields with the \* are mandatory under TEAS Plus. The wording "(if applicable)" appears where the field is only mandatory under the facts of the particular application.

The table below presents the data as entered.

i ne table below presents the data as entered.		
Input Field	Entered	
TEAS Plus	YES	
MARK INFORMATION		
*MARK	Fu Jin	
*STANDARD CHARACTERS	YES	
USPTO-GENERATED IMAGE	YES	
LITERAL ELEMENT	Fu Jin	
*MARK STATEMENT	The mark consists of standard characters, without claim to any particular font, style, size, or color.	
REGISTER	Principal .	
APPLICANT INFORMATION		
*OWNER OF MARK	Empire Grape Company, LLC	
*STREET	2540 W. Paul Avenue	
INTERNAL ADDRESS	2540 W. Paul	
*CITY	Fresno	
*STATE (Required for U.S. applicants)	California	
*COUNTRY	United States	
*ZIP/POSTAL-CODE	93711	

(Required for U.S. applicants only)	
PHONE	559-905-7748
FAX	559-897-4012
EMAIL ADDRESS	pvallis@gmail.com
AUTHORIZED TO COMMUNICATE VIA EMAIL	Yes
LEGAL ENTITY INFORMATION	
*TYPE	LIMITED LIABILITY COMPANY
* STATE/COUNTRY WHERE LEGALLY ORGANIZED	California
GOODS AND/OR SERVICES AND BASIS	INFORMATION
FINTERNATIONAL CLASS	033
FIRST USE ANYWHERE DATE	At least as early as 04/15/1976
FIRST USE IN COMMERCE DATE	At least as early as 04/15/1976
*IDENTIFICATION	Wine
*FILING BASIS	SECTION 1(a)
FIRST USE ANYWHERE DATE	At least as early as 04/15/1976
FIRST USE IN COMMERCE DATE	At least as early as 04/15/1976
SPECIMEN FILE NAME(S)	\\TICRS\EXPORT6\IMAGEOUT6 \776\668\77666862\xm11\FT K0003.JPG
SPECIMEN DESCRIPTION	Label
ADDITIONAL STATEMENTS INFORMA	TION
*TRANSLATION (if applicable)	
*TRANSLITERATION (if applicable)	
*CLAIMED PRIOR REGISTRATION (if applicable)	
*CONSENT (NAME/LIKENESS) (if applicable)	
*CONCURRENT USE CLAIM (if applicable)	,
CORRESPONDENCE INFORMATION	

*NAME	Peterangelo Vallis
FIRM NAME	Empire Grape Company, LLC
*STREET	2540 W. Paul Avenue
INTERNAL ADDRESS	2540 W. Paul
*CITY	Fresno
*STATE (Required for U.S. applicants)	California
*COUNTRY	United States
*ZIP/POSTAL CODE	93711
PHONE	559-905-7748
FAX	559-897-4012
*EMAIL ADDRESS	pvallis@gmail.com
*AUTHORIZED TO COMMUNICATE VIA EMAIL	Yes
FEE INFORMATION	
NUMBER OF CLASSES	1
FEE PER CLASS	275
*TOTAL FEE PAID	275
SIGNATURE INFORMATION	
* SIGNATURE	/Peterangelo Vallis/
* SIGNATORY'S NAME	Peterangelo Vallis
* SIGNATORY'S POSITION	Member
* DATE SIGNED	02/09/2009

PTG Form 1478 (Rev 9/2006) OM8 No. 0651-0009 (Exp 12/31/2008)

Trademark/Service Mark Application, Principal Register

#### **TEAS Plus Application**

Serial Number: 77666862 Filing Date: 02/10/2009

#### To the Commissioner for Trademarks:

MARK: Fu Jin (Standard Characters, see mark)

The literal element of the mark consists of Fu Jin.

The mark consists of standard characters, without claim to any particular font, style, size, or color.

The applicant, Empire Grape Company, LLC, a limited liability company legally organized under the laws of California, having an address of

2540 W. Paul.

2540 W. Paul Avenue

Fresno, California 93711

**United States** 

requests registration of the trademark/service mark identified above in the United States Patent and Trademark Office on the Principal Register established by the Act of July 5, 1946 (15 U.S.C. Section 1051 et seq.), as amended.

For specific filing basis information for each item, you must view the display within the Input Table.

International Class 033: Wine

Use in Commerce: The applicant is using the mark in commerce, or the applicant's related company or licensee is using the mark in commerce, or the applicant's predecessor in interest used the mark in commerce, on or in connection with the identified goods and/or services. 15 U.S.C. Section 1051(a), as amended.

In International Class 033, the mark was first used at least as early as 04/15/1976, and first used in commerce at least as early as 04/15/1976, and is now in use in such commerce. The applicant is submitting one specimen(s) showing the mark as used in commerce on or in connection with any item in the class of listed goods and/or services, consisting of a(n) Label. Specimen File1

Correspondence Information: Peterangelo Vallis

2540 W. Paul

2540 W. Paul Avenue

Fresno, California 93711

559-905-7748(phone)

559-897-4012(fax)

pvallis@gmail.com (authorized)

A fee payment in the amount of \$275 has been submitted with the application, representing payment for 1 class(es).

Declaration

The undersigned, being hereby warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. Section 1001, and that such willful false statements, and the like, may jeopardize the validity of the application or any resulting registration, declares that he/she is properly authorized to execute this application on behalf of the applicant; he/she believes the applicant to be the owner of the trademark/service mark sought to be registered, or, if the application is being filed under 15 U.S.C. Section 1051(b), he/she believes applicant to be entitled to use such mark in commerce; to the best of his/her knowledge and belief no other person, firm, corporation, or association has the right to use the mark in commerce, either in the identical form thereof or in such near resemblance thereto as to be likely, when used on or in connection with the goods/services of such other person, to cause confusion, or to cause mistake, or to deceive; and that all statements made of his/her own knowledge are true; and that all statements made on information and belief are believed to be true.

Signature: /Peterangelo Vallis/ Date Signed: 02/09/2009

Signatory's Name: Peterangelo Vallis

Signatory's Position: Member

RAM Sale Number: 5564

RAM Accounting Date: 02/10/2009

Serial Number: 77666862

Internet Transmission Date: Tue Feb 10 00:33:17 EST 2009 TEAS Stamp: USPTO/FTK-69.232.174.219-200902100033179

27682-77666862-44055a5654964ce1dcf5c22a1 0bdd4946b-CC-5564-20090209235539770582

# Hu Jin



# **Response to Office Action**

The table below presents the data as entered.

Input Field	Street and the first of the control	
SERIAL NUMBER	77666862	
LAW OFFICE ASSIGNED	LAW OFFICE 110	
MARK SECTION (no change)		
GOODS AND/OR SERVICES SECTION (current)		
INTERNATIONAL CLASS	033	
DESCRIPTION	Wine	
FILING BASIS	Section 1(a)	
FIRST USE ANYWHERE DATE	At least as early as 04/15/1976	
FIRST USE IN COMMERCE DATE	At least as early as 04/15/1976	
GOODS AND/OR SERVICES SECTI	ON (proposed)	
INTERNATIONAL CLASS	033	
DESCRIPTION	Wine	
FILING BASIS	Section 1(a)	
FIRST USE ANYWHERE DATE	At least as early as 04/15/1976	
FIRST USE IN COMMERCE DATE	At least as early as 04/15/1976	
STATEMENT TYPE	"The substitute specimen(s) was in use in commerce as of the filing date of the application."	
SPECIMEN FILE NAME(S)	\\TICRS\EXPORT6\IMAGEOUT6 \\\776\668\77666862\xm16\RO A0002.JPG	
SPECIMEN DESCRIPTION	The specimen consists of a bottle of Fu Jin wine. The bottle is inside of Fu Jin labeled ice bucket (promotional item). Also included in the picture is a Fu Jin wine opener (also for promotional purposes).	
ADDITIONAL STATEMENTS SECTION		
TRANSLATION	The English translation of Fu Jin in the mark is Eternal	

	Happiness.	
SIGNATURE SECTION		
DECLARATION SIGNATURE	/Peterangelo Vallis/	
SIGNATORY'S NAME	Peterangelo Vallis	
SIGNATORY'S POSITION	Member	
DATE SIGNED	05/10/2009	
RESPONSE SIGNATURE	/Peterangelo Vallis/	
SIGNATORY'S NAME	Peterangelo Vallis	
SIGNATORY'S POSITION	Member	
DATE SIGNED	05/10/2009	
AUTHORIZED SIGNATORY	YES	
FILING INFORMATION SECTION		
SUBMIT DATE	Sun May 10 23:16:50 EDT 2009	
TEASSTAMP	USPTO/ROA-68.127.89.183-2 0090510231650569731-77666 862-43043675e1bec67c14569 c46cdd6cb3ae-N/A-N/A-2009 0510224609783856	

PTO Form 1957 (Rev 9/2005) OMB No. 0651-0050 (Exp. 04/2009)

#### **Response to Office Action**

#### To the Commissioner for Trademarks:

Application serial no. 77666862 has been amended as follows:

#### CLASSIFICATION AND LISTING OF GOODS/SERVICES

Applicant proposes to amend the following class of goods/services in the application:

Current: Class 033 for Wine

Original Filing Basis:

Filing Basis: Section 1(a), Use in Commerce: The applicant is using the mark in commerce, or the applicant's related company or licensee is using the mark in commerce, on or in connection with the identified goods and/or services. 15 U.S.C. Section 1051(a), as amended. The mark was first used at least as early as 04/15/1976, and is now in use in

such commerce.

Proposed: Class 033 for Wine

Filing Basis: Section 1(a), Use in Commerce: The applicant is using the mark in commerce, or the applicant's related company or licensee is using the mark in commerce, on or in connection with the identified goods and/or services. 15 U.S.C. Section 1051(a), as amended. The mark was first used at least as early as 04/15/1976 and first used in commerce at least as early as 04/15/1976, and is now in use in such commerce.

Applicant hereby submits a new specimen for Class 033. The specimen(s) submitted consists of The specimen consists of a bottle of Fu Jin wine. The bottle is inside of Fu Jin labeled ice bucket (promotional item). Also included in the picture is a Fu Jin wine opener (also for promotional purposes).. For an application based on 1(a), Use in Commerce, "The substitute specimen(s) was in use in commerce as of the filing date of the application."

Specimen File1

#### ADDITIONAL STATEMENTS

#### **Translation**

The English translation of Fu Jin in the mark is Eternal Happiness.

#### SIGNATURE(S)

#### **Declaration Signature**

If the applicant is seeking registration under Section 1(b) and/or Section 44 of the Trademark Act, the applicant has had a bona fide intention to use or use through the applicant's related company or licensee the mark in commerce on or in connection with the identified goods and/or services as of the filing date of the application. 37 C.F.R. Secs. 2.34(a)(2)(i); 2.34 (a)(3)(i); and 2.34(a)(4)(ii); and/or the applicant has had a bona fide intention to exercise legitimate control over the use of the mark in commerce by its members. 37 C.F. R. Sec. 2.44. If the applicant is seeking registration under Section 1(a) of the Trademark Act, the mark was in use in commerce on or in connection with the goods or services listed in the application as of the application filing date. 37 C.F.R. Secs. 2.34(a)(1)(i); and/or the applicant has exercised legitimate control over the use of the mark in commerce by its members. 37 C.F.R. Sec. 244. The undersigned, being hereby warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application or any resulting registration, declares that he/she is properly authorized to execute this application on behalf of the applicant; he/she believes the applicant to be the owner of the trademark/service mark sought to be registered, or, if the application is being filed under 15 U.S.C. §1051(b), he/she believes applicant to be entitled to use such mark in commerce; to the best of his/her knowledge and belief no other person, firm, corporation, or association has the right to use the mark in commerce, either in the identical form thereof or in such near resemblance thereto as to be likely, when used on or in connection with the goods/services of such other person, to cause confusion, or to cause mistake, or to deceive; that if the original application was submitted unsigned, that all statements in the original application and this submission made of the declaration signer's knowledge are true; and all statements in the original application and this submission made on information and belief are believed to be true.

Signature: /Peterangelo Vallis/ Date: 05/10/2009

Signatory's Name: Peterangelo Vallis

Signatory's Position: Member

#### Response Signature

Signature: /Peterangelo Vallis/ Date: 05/10/2009

Signatory's Name: Peterangelo Vallis

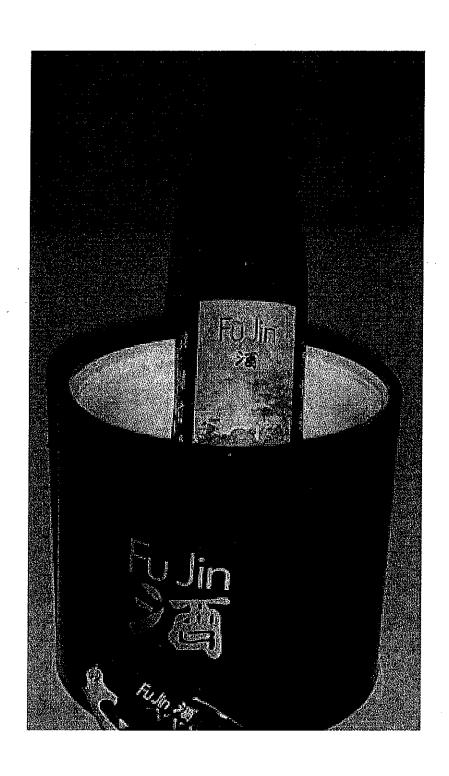
Signatory's Position: Member

The signatory has confirmed that he/she is not represented by either an authorized attorney or Canadian attorney/agent, and that he/she is either (1) the applicant or (2) a person(s) with legal authority to bind the applicant; and if an authorized U.S. attorney or Canadian attorney/agent previously represented him/her in this matter, either he/she has filed a signed revocation of power of attorney with the USPTO or the USPTO has granted the request of his/her prior representative to withdraw.

Serial Number: 77666862

Internet Transmission Date: Sun May 10 23:16:50 EDT 2009 TEAS Stamp: USPTO/ROA-68.127.89.183-2009051023165056

9731-77666862-43043675e1bec67c14569c46cd d6cb3ae-N/A-N/A-20090510224609783856



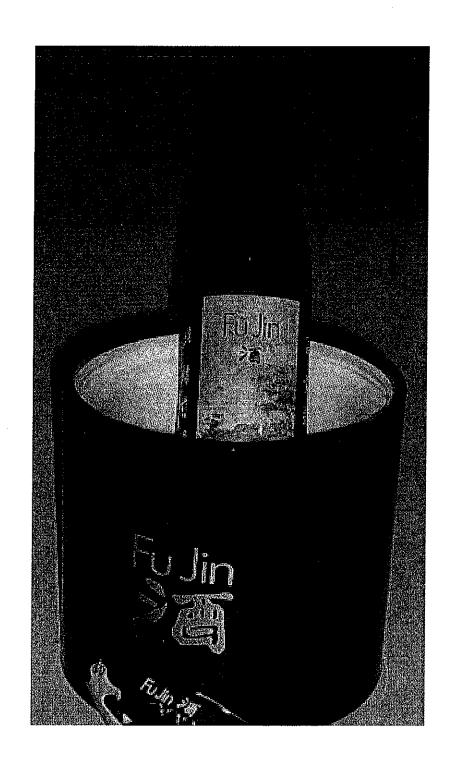


EXHIBIT C

1	EMPIRE GRAPE COMPANY, LLC				
2	2540 West Paul   Fresno, California 93711				
_	(559) 905- 7748				
3					
4					
5	IN THE UNITED STATES DATES	NT AND TRADEMARK OFFICE BEFORE THE			
6	<b>   </b>	TRIAL AND APPEAL BOARD			
		αΩα			
7					
8					
9	DEMETRIO PAPAGNI, an individual,	) Cancellation No. 92051679			
10	Petitioner,	) ) In the matter of Registration No. 3,679,176 ) For the mark FU JIN			
11	v.   EMPIRE GRAPE COMPANY, LLC,   a California Limited Liability Company,	) ) RESPONDENT'S ANSWERS TO ) PETITIONER'S FIRST SET OF			
12		) REQUESTS FOR ADMISSIONS			
13	Respondent.				
14		aΩa			
15					
	PROPOUNDING PARTY: DEMETRIO PAPAGN	4I			
16	RESPONDING PARTY: EMPIRE GRAPE COMPANY, LLC				
L7	SET NUMBER: ONE(1)				
18	<u>'</u>				
۱9	REQUEST FOR ADMISSION NO.1:	d a dead and a land and a construction of the			
		d a trademark application for registration of the words FU JIN for nt and Trademark Office ("USPTO") on February 10, 2009 an			
50	use on "wine" that was received by the U.S. Patent and Trademark Office ("USPTO") on February 10, 2009 at assigned serial number 77/666,862 (hereafter "the FU JIN Trademark Application").				
21	Admit				
22					
3	REQUEST FOR ADMISSION NO.2:	•			
ŀ		lus Application for registration of the trademark FU JIN, a cop			
4	of which is attached hereto as Exhibit A and incorporated herein by this reference.				
5	Admit	•			
6	REQUEST FOR ADMISSION NO.3:				
7		cument in the FU JIN Trademark Application (Exhibit A) wa			
ľ	electronically signed by Peterangelo Vallis as a Men				
8	Admit				
9	, ·				

# 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18

**REQUEST FOR ADMISSION NO.4:** 

Admit that the initial application document in the FU JIN Trademark Application (Exhibit A) contains the following statement "the mark was first used at least as early as 04/15/1976, and first used in commerce at least as early as 04/15/1976, and is now in use in such commerce."

Admit

#### **REQUEST FOR ADMISSION NO.5:**

Admit that EMPIRE intended for the USPTO to rely on the statements referred to in Request for Admission No. 4 in order to issue a registration for the mark FU JIN.

Admit

#### REQUEST FOR ADMISSION NO.6:

Admit that as of February 10, 2009, EMPIRE was not using the words FU JIN on wine.

Admit

#### **REQUEST FOR ADMISSION NO.7:**

Admit that as of February 10, 2009, Peterangelo Vallis was aware that EMPIRE was not then using the words FU JIN on wine.

Admit

#### **REQUEST FOR ADMISSION NO.8:**

Admit that EMPIRE had not used the words FU JIN on wine at any time between August 2002 and February 10, 2009.

Admit

#### **REQUEST FOR ADMISSION NO.9:**

Admit that as of February 10, 2009, EMPIRE was not selling wine with the words FU JIN on containers for the wine.

Admit

#### REQUEST FOR ADMISSION NO.10:

Admit that between August 2002 and February 10, 2009, EMPIRE had not caused any final (non-sample) wine labels to be printed with the words FU JIN on them.

Admit

#### **REQUEST FOR ADMISSION NO.11:**

Admit that EMPIRE had not sold any wine with the words FU JIN on labels for the wine at any time between August 2002 and February 10, 2009.

Admit

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1	REQUEST FOR ADMISSION NO.12:			
F	Admit that between August 2002 and February 10, 2009, EMPIRE had not caused any wir			
2	containers to be created with the words FU JIN on them.			
3	Admit			
4				
5	REQUEST FOR ADMISSION NO.13:			
	Admit that between August 2002 and February 10, 2009, EMPIRE had not sold any wine with the			
6	words FU JIN on containers for the wine.  Admit			
7	Aumii			
8	REQUEST FOR ADMISSION NO.14:			
9.	Admit that as of February 10, 2009, EMPIRE was not marketing wine using the words FU JIN.			
	Admit			
10				
11	REQUEST FOR ADMISSION NO.15:  Admit that between August 2002 and February 10, 2009, EMPIRE was not marketing wine using the			
12	words FU JIN.			
13	Admit			
14				
	REQUEST FOR ADMISSION NO.16:			
15	Admit that as of February 10, 2009, EMPIRE was not advertising wine using the words FU JIN.			
16	Admit			
17	REQUEST FOR ADMISSION NO.17:			
18	Admit that between August 2007 and February 10, 2009, EMPIRE was not advertising wine using th			
19	words FU JIN.			
	Admit			
20	DESCRIPTION A DAVIGGROW NO. 16.			
21	REQUEST FOR ADMISSION NO.18:  Admit that as of February 10, 2009, EMPIRE was not promoting wine using the words FU JIN.			
22	Deny			
23				
	REQUEST FOR ADMISSION NO.19:			
24	Admit that between August 2002 and February 10, 2009, EMPIRE was not promoting wine using th			
25	words FU JIN.			
26	Deny			
27	REQUEST FOR ADMISSION NO.20:			
28	Admit that Papagni Vineyards, Inc. has not sold wine under the FU JIN label since at least 2004.			
	1			

Respondent lacks sufficient information or knowledge of the matter involved in the 1 request and therefore denies. 2 3 REQUEST FOR ADMISSION NO.21: Admit that Papagni Vineyards, Inc. was suspended as a California corporation prior to February 10, 4 2009. 5 Respondent lacks sufficient information or knowledge of the matter involved in the request and therefore denies. 6 7 **REQUEST FOR ADMISSION NO.22:** Admit that Papagni Vineyards, Inc. never transferred any trademark rights in the words FU JIN for use 8 on wine to EMPIRE. 9 Admit 10 **REQUEST FOR ADMISSION NO.23:** 11 Admit that Papagni Vineyards, Inc. is not a related company to EMPIRE. 12 13 **REQUEST FOR ADMISSION NO.24:** 14 Admit that Papagni Vineyards, Inc. is not a predecessor in interest to EMPIRE. 15 Admit 16 REQUEST FOR ADMISSION NO.25: 17 Admit that as of February 10, 2009, no licensee of EMPIRE was using the words FU JIN on wine. 18 Admit 19 **REQUEST FOR ADMISSION NO.26:** 20 Admit that no licensee of EMPIRE had used the words FU JIN on wine at any time between August 2002 and February 10, 2009. 21 Admit 22 REQUEST FOR ADMISSION NO.27: 23 Admit that between August 2002 and February 10, 2009, no licensee of EMPIRE was selling wine 24 with the words FU JIN on containers for the wine. 25 Admit 26 **REQUEST FOR ADMISSION NO.28:** 27 Admit that between August 2002 and February 10, 2009, no licensee of EMPIRE had caused any final (non-sample) wine labels to be printed with the words FU JIN on them. 28 Admit

#### REQUEST FOR ADMISSION NO.29: 1 Admit that no licensee of EMPIRE had sold any wine with the words FU JIN on labels for the wine at 2 any time between August 2002 and February 10, 2009. 3 Admit 4 REQUEST FOR ADMISSION NO.30: 5 Admit that between August 2002 and February 10, 2009, no licensee of EMPIRE had caused any wine containers to be created with the words FU JIN on them. 6 Admit 7 8 **REQUEST FOR ADMISSION NO.31:** Admit that no licensee of EMPIRE had sold any wine with the words FU JIN on containers for the 9 wine at any time between August 2002 and February 10, 2009. 10 Admit 11 **REQUEST FOR ADMISSION NO.32:** 12 Admit that as of February 10, 2009, no licensee of EMPIRE was marketing wine using the words FU JIN. 13 Admit 14 15 **REQUEST FOR ADMISSION NO.33:** Admit that between August 2002 and February 10, 2009, no licensee of EMPIRE was marketing wine 16 using the words FU JIN. 17 Admit 18 **REQUEST FOR ADMISSION NO.34:** 19 Admit that Papagni Vineyards, Inc. has not sold wine under the FU JIN label since at least 2004. 20 Admit 21 **REQUEST FOR ADMISSION NO.35:** 22 Admit that between August 2002 and February 10, 2009, no licensee of EMPIRE was advertising wine using the words FU JIN. 23 Admit 24 25 REQUEST FOR ADMISSION NO.36: Admit that as of February 10, 2009, no licensee of EMPIRE was promoting wine using the words FU 26 JIN. 27 Admit 28

#### REQUEST FOR ADMISSION NO.37:

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Admit that between August 2002 and February 10, 2009, no licensee of EMPIRE was promoting wine using the words FU JIN.

Admit

#### **REQUEST FOR ADMISSION NO.38:**

Admit the genuineness of the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application, a copy of which is attached hereto as Exhibit B and incorporated herein by this reference.

Admit

#### **REQUEST FOR ADMISSION NO.39:**

Admit that the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit B) was electronically signed by Peterangelo Vallis as a Member of EMPIRE.

Admit

#### REQUEST FOR ADMISSION NO.40:

Admit that the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit B) contains the following statement "Applicant hereby submits a new specimen for Class 033. The specimen(s) submitted consists of The specimen consists of a bottle of Fu Jin wine. The bottle is inside of Fu Jin labeled ice bucket (personal item). Also included in the picture is a Fu Jin wine opener (also for promotional purposes). For an application based on 1(a), Use in Commerce, 'The substitute specimen(s) was in use in commerce as of the filing dated of the application."

Admit

#### **REQUEST FOR ADMISSION NO.41:**

Admit that EMPIRE intended for the USPTO to rely on the statements referred to in Request for Admission No. 39 in order to issue a registration for the mark FU JIN.

Admit

#### **REQUEST FOR ADMISSION NO.42:**

Admit the genuineness of the photographic specimen filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application, a copy of which is attached hereto as Exhibit C and incorporated herein by this reference.

Admit

#### **REQUEST FOR ADMISSION NO.43:**

Admit that the FU JIN label on the wine bottle depicted in the photographic specimen filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit C) was not caused to be created by EMPIRE.

Admit

#### **REQUEST FOR ADMISSION NO.44:**

Admit that the FU JIN label on the wine bottle depicted in the photographic specimen filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit C) was created by Papagni Vineyards, Inc.

Admit

#### **REQUEST FOR ADMISSION NO.45:**

Admit that the FU JIN label on the wine bottle depicted in the photographic specimen filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit C) was created prior to August 2002.

Respondent lacks sufficient information or knowledge of the matter involved in the request and therefore denies.

#### **REQUEST FOR ADMISSION NO.46:**

Admit that as of February 10, 2009, Peterangelo Vallis was aware that the FU JIN label on the wine bottle depicted in the photographic specimen filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit C) had been created prior to August 2002.

Respondent lacks sufficient information or knowledge of the matter involved in the request and therefore denies.

#### **REQUEST FOR ADMISSION NO.47:**

Admit that the FU JIN label on the wine bottle depicted in the photographic specimen filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit C) was saved by Peterangelo Vallis in the early 2000's.

Deny

#### **REQUEST FOR ADMISSION NO.48:**

Admit that the FU JIN bucket and opener depicted in the photographic specimen filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit C) was not caused to be created by EMPIRE.

Admit

#### **REQUEST FOR ADMISSION NO.49:**

Admit that the FU JIN bucket and opener depicted in the photographic specimen filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit C) was created by Papagni Vineyards, Inc.

Respondent lacks sufficient information or knowledge of the matter involved in the request and therefore denies.

#### REQUEST FOR ADMISSION NO.50:

Admit that the FU JIN bucket and opener depicted in the photographic specimen filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit C) was created prior to August 2002.

Respondent lacks sufficient information or knowledge of the matter involved in the request and therefore denies.

#### **REQUEST FOR ADMISSION NO.51:**

Admit that the FU JIN bucket and opener depicted in the photographic specimen filed with the Response to Office Action dated May 10, 2009 filed in the FU JIN Trademark Application (Exhibit C) was saved by Peterangelo Vallis in the early 2000's.

Deny but admit that the FU JIN bucket and opener depicted in the photographic specimen were given to Peterangelo Vallis for the express purpose of promoting FU JIN.

#### **REQUEST FOR ADMISSION NO.52:**

DATED: March 3, 2010

Admit that EMPIRE was formed as a California limited liability company in August 2002.

Admit

I declare under penalty of perjury according to the laws of the State of California the foregoing is true and correct.

Empire Grape Company, LLC

by

Peterangelo Vallis

PROOF OF SERVICE BY MAIL STATE OF CALIFORNIA, COUNTY OF FRESNO I am a resident or employed in the Fresno County; I am over the age of eighteen (18) years and not a party to this action; and my business address is: 1625 Simpson Avenue, Kingsburg, California, 93631. On March 4, 2010 I served the "RESPONDENT'S ANSWERS TO PETITIONER'S FIRST SET OF REQUESTS FOR ADMISSIONS" dated March 3, 2010, to the interested parties in this action, by placing a true copy in a sealed envelope with postage thereon fully prepaid, in the United States mail at Fresno, California, addressed as follows: Mark D. Miller, Esq. Kimble, MacMichael & Upton 5260 N. Palm Avenue, Suite 221 Fresno, California 93704 I declare under penalty of perjury according to the laws of the State of California, that the foregoing is true and correct. Executed March 4, 2010 at Kingsburg, California. 

Declarant:

MARK D. MILLER CA Bar No. 116349 JEREMY D. CLAR CA Bar No. 234238 KIMBLE, MacMICHAEL & UPTON A Professional Corporation 5260 North Palm, Suite 221 Post Office Box 9489 Fresno, California 93792-9489 Telephone: (559) 435-5500 Facsimile: (559) 435-1500 Attorneys for Petitioner, DEMETRIO PAPAGNI IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD 10 Cancellation No. 92051679 DEMETRIO PAPAGNI, an individual, 11 Petitioner In the mater of Registration No. 3,679,176 12 For the mark **FU JIN** 13 V. EMPIRE GRAPE COMPANY, LLC, DECLARATION OF MARK D. MILLER a California Limited Liabilty Company, IN SUPPORT OF PETITIONER'S MOTION FOR SUMMARY JUDGMENT 15 Respondent. 16 17 18 I, Mark D. Miller, declare: 19 1. I am an attorney at law duly licensed to practice before all of the courts of the state of 20 California and registered to practice before the United States Patent and Trademark Office. I am a member of the law firm of Kimble, MacMichael & Upton, attorneys for Petitioner Demetrio Papagni. The matters set forth below are of my own personal knowledge and if called as a witness 23 I would and could competently testify thereto. At the request of Demetrio Papagni, on March 20, 2009, I prepared and submitted 25 2. an intent-to-use trademark application for the mark "FU JIN" for use on wine, which resulted in 26 United States Trademark Application No. 77/696,075. A true and correct copy of an electronic 28 printout of the application from the Trademark Document Retrieval ("TDR") service available

LAW OFFICES
Kim ble, MacMichael
& Upton
A Professoral Corporation
5260 North Palla Avenue
Suite 221
P.O. Box 9489
Fresho, CA 93792-9489

Declaration of Mark D. Miller

on the United States Patent and Trademark Office website is attached hereto as exhibit A and incorporated herein by this reference.

3. On November 18, 2009, our office received a Notice of Suspension with regard to United States Trademark Application No. 77/696,075. According to the Notice of Suspension, the prosecution of Application No. 77/696,075 was suspended pending the outcome of the present Cancellation Proceeding No. 92051679 involving United States Trademark Application Serial No. 77/666,862 (Reg. No. 3,679,176). A true and correct copy of an electronic printout of the Notice of Suspension from the Trademark Document Retrieval ("TDR") service available on the United States Patent and Trademark Office website is attached hereto as exhibit B and incorporated herein by this reference.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct of my own personal knowledge, except as to those matters stated on information and belief, and as to those matters I believe it to be true; and that this declaration was executed in Fresno, California on April 6, 2010.

MARK D. MILLER

LAW OFFICES
Kim ble, MacMichael
& Upton
A Professional Corporation
5260 North Paus Avenue
Suite 221
P. O. Box 9489

## **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a true and correct copy of the foregoing DECLARATION OF MARK D. MILLER IN SUPPORT OF PETITIONER'S MOTION FOR SUMMARY JUDGMENT is being served on the Respondent via first class mail, postage prepaid, on April 6, 2010 in an envelope addressed as follows:

Empire Grape Company, LLC Attn: Peterangelo Vallis 2549 W. Paul Ave. Fresno, California 93711

Deniece Turner

1074401.00140339.000

LAW OFFICES
Kim ble, MacMichael
& Upton
A Professional Corporation
5260 North Pala Avenue
Surre 221
P.O. Roy 9489

FRESNO, CA 93792-9489

Declaration of Mark D. Miller

Document Description:	<b>TEAS Plus</b>	New	<b>Application</b>
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Mail / Create Date: 20-Mar-2009

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of 3



PTO Form 1478 (Rev 9/2006) OMB No. 0651-0009 (Exp 12/31/2008)

# Trademark/Service Mark Application, Principal Register TEAS Plus Application

**Serial Number: 77696075 Filing Date: 03/20/2009** 

NOTE: Data fields with the \* are mandatory under TEAS Plus. The wording "(if applicable)" appears where the field is only mandatory under the facts of the particular application.

# The table below presents the data as entered.

Input Field	Entered		
TEAS Plus	YES		
MARK INFORMATION			
*MARK	<u>FU JIN</u>		
*STANDARD CHARACTERS	YES		
USPTO-GENERATED IMAGE	YES		
LITERAL ELEMENT	FU JIN		
*MARK STATEMENT	The mark consists of standard characters, without claim to any particular font, style, size, or color.		
REGISTER	Principal		
APPLICANT INFORMATION			
Demetrio Papagni			
STREET 9505 Road 30 1/2			
*CITY	Madera		
*STATE (Required for U.S. applicants)	California		

*COUNTRY	United States		
*ZIP/POSTAL CODE (Required for U.S. applicants only)	93636		
LEGAL ENTITY INFORMATION			
*TYPE	INDIVIDUAL		
* COUNTRY OF CITIZENSHIP	United States		
OODS AND/OR SERVICES AND BASIS INFORMATION			
*INTERNATIONAL CLASS	033		
IDENTIFICATION	Wine		
*FILING BASIS	SECTION 1(b)		
ADDITIONAL STATEMENTS INFOR	RMATION		
*TRANSLATION (if applicable)			
*TRANSLITERATION (if applicable)			
*CLAIMED PRIOR REGISTRATION (if applicable)			
*CONSENT (NAME/LIKENESS) (if applicable)			
*CONCURRENT USE CLAIM (if applicable)			
TTORNEY INFORMATION			
NAME	Mark D. Miller		
ATTORNEY DOCKET NUMBER	10744.00		
FIRM NAME	Kimble, MacMichael & Upton		
STREET	5260 N. Palm Ave., Ste. 221		
CITY	Fresno		
STATE	California		
COUNTRY	United States		
ZIP/POSTAL CODE	93704 (559) 435-5500		
PHONE			
FAX	(559) 435-1500		
EMAIL ADDRESS	ipmail@kmulaw.com		

AUTHORIZED TO COMMUNICATE VIA EMAIL	Yes			
CORRESPONDENCE INFORMATION				
*NAME	Mark D. Miller			
FIRM NAME	Kimble, MacMichael & Upton			
*STREET	5260 N. Palm Ave., Ste. 221			
*CITY	Fresno			
*STATE (Required for U.S. applicants)	California			
*COUNTRY	United States			
*ZIP/POSTAL CODE	93704			
PHONE	(559) 435-5500			
FAX	(559) 435-1500			
*EMAIL ADDRESS	ipmail@kmulaw.com			
*AUTHORIZED TO COMMUNICATE VIA EMAIL	Yes			
FEE INFORMATION				
NUMBER OF CLASSES	1			
FEE PER CLASS	275			
*TOTAL FEE PAID	275			
SIGNATURE INFORMATION				
ORIGINAL PDF FILE	hw_21617114498- 174733370app.FU.JIN.signed.pdf			
CONVERTED PDF FILE(S) (1 page)	\\TICRS\EXPORT6\IMAGEOUT6\776\960 \\77696075\xm11\FTK0003.JPG			
* SIGNATORY'S NAME	Demetrio Papagni			
* SIGNATORY'S POSITION	Owner			

PTO Form 1478 (Rev 9/2006)
OMB No. 0651-0009 (Exp 12/31/2008)

# Trademark/Service Mark Application, Principal Register

# **TEAS Plus Application**

**Serial Number: 77696075 Filing Date: 03/20/2009** 

#### To the Commissioner for Trademarks:

MARK: FU JIN (Standard Characters, see mark)

The literal element of the mark consists of FU JIN.

The mark consists of standard characters, without claim to any particular font, style, size, or color.

The applicant, Demetrio Papagni, a citizen of United States, having an address of

9505 Road 30 1/2

Madera, California 93636

United States

requests registration of the trademark/service mark identified above in the United States Patent and Trademark Office on the Principal Register established by the Act of July 5, 1946 (15 U.S.C. Section 1051 et seq.), as amended, for the following:

# For specific filing basis information for each item, you must view the display within the Input Table.

International Class 033: Wine

Intent to Use: The applicant has a bona fide intention to use or use through the applicant's related company or licensee the mark in commerce on or in connection with the identified goods and/or services. (15 U.S.C. Section 1051(b)).

The applicant hereby appoints Mark D. Miller of Kimble, MacMichael & Upton

5260 N. Palm Ave., Ste. 221

Fresno, California 93704

United States

to submit this application on behalf of the applicant. The attorney docket/reference number is 10744.00.

The docket/reference number is 10744.00.

Correspondence Information: Mark D. Miller

5260 N. Palm Ave., Ste. 221 Fresno, California 93704 (559) 435-5500(phone) (559) 435-1500(fax)

ipmail@kmulaw.com (authorized)

A fee payment in the amount of \$275 has been submitted with the application, representing payment for 1 class(es).

#### **Declaration**

Original PDF file:

hw 21617114498-174733370 . app.FU.JIN.signed.pdf

Converted PDF file(s) (1 page)

Signature File1

Signatory's Name: Demetrio Papagni

Signatory's Position: Owner

RAM Sale Number: 4096

RAM Accounting Date: 03/23/2009

Serial Number: 77696075

Internet Transmission Date: Fri Mar 20 17:51:23 EDT 2009 TEAS Stamp: USPTO/FTK-216.171.144.98-200903201751237

06538-77696075-400bbde8e401352307075bfc3 c614a7f7d8-DA-4096-20090320174733370659

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To:

Demetrio Papagni (ipmail@kmulaw.com)

Subject:

U.S. TRADEMARK APPLICATION NO. 77696075 - FU JIN -

10744.00

Sent:

11/18/2009 12:40:48 PM

Sent As:

ECOM106@USPTO.GOV

Attachments:

## UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NO:

77/696075

MARK: FU JIN

\*77696075\*

#### **CORRESPONDENT ADDRESS:**

MARK D. MILLER KIMBLE, MACMICHAEL & UPTON 5260 N PALM AVE STE 221 FRESNO, CA 93704-2210

GENERAL TRADEMARK INFORMATION: http://www.uspto.gov/main/trademarks.htm

APPLICANT:

Demetrio Papagni

CORRESPONDENT'S REFERENCE/DOCKET NO:

10744.00

CORRESPONDENT E-MAIL ADDRESS:

ipmail@kmulaw.com

#### NOTICE OF SUSPENSION

ISSUE/MAILING DATE: 11/18/2009

SUSPENSION PROCEDURE: This suspension notice serves to suspend action on the application for the reason(s) specified below. No response is needed. However, if you wish to respond to this notice, you should use the "Response to Letter of Suspension" form found at <a href="http://teasroa.uspto.gov/rsi/rsi">http://teasroa.uspto.gov/rsi/rsi</a>. The Office will conduct periodic status checks to determine if suspension remains appropriate.

Registration has been refused under Section 2(d) of the Trademark Act, 15 U.S.C. §1052(d), based on the cited registration(s). However, registration maintenance documents are due to be filed for the cited registration(s). If these registration maintenance documents are not timely filed, the cited registration (s) will be canceled under §8 or expire under §9 and will no longer present a bar to registration under Section 2(d). 15 U.S.C. §§1058, 1059. Therefore, action on this application is suspended for 6 months until the examining attorney can determine whether the cited registration(s) will be canceled under §8 or expire under §9. 37 C.F.R §2.67; TMEP §716.02(e).

/Sally Shih/
Sally Shih
Trademark Examining Attorney
Law Office 106
USPTO
(tel) 571-272-9712
(fax) 571-273-9106

STATUS CHECK: Check the status of the application at least once every six months from the initial filing date using the USPTO Trademark Applications and Registrations Retrieval (TARR) online system at <a href="http://tarr.uspto.gov">http://tarr.uspto.gov</a>. When conducting an online status check, print and maintain a copy of the complete TARR screen. If the status of your application has not changed for more than six months, please contact the assigned examining attorney.

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